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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Joseph Asher

Application No./Patent No.: 10/798,106 Filed/Issue Date: March 10, 2004

Entitled: SYSTEM AND METHOD FOR HIGH SPEED PARI-MUTUEL WAGERING

BGC Partners, LLC, a limited liability company
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:


1. From: Joseph Asher and Howard Lutnick To: eSpeed, Inc.
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.


Signature
Dean P. Alderucci
Printed or Typed Name
Vice President and Assistant General Counsel
Title

September 8, 2008
Date
(212) 829-7009
Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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SEPTEMBER 05, 2008

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ASSIGNOR:

ESPEED, INC.

DOC DATE: 04/01/2008

ASSIGNEE:

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499 PARK AVENUE
NEW YORK, NEW YORK 10022

SERIAL NUMBER: 10798106

FILING DATE: 03/10/2004

PATENT NUMBER:

ISSUE DATE:

TITLE: SYSTEM AND METHOD FOR HIGH-SPEED PARI-MUTUEL WAGERING

SERIAL NUMBER: 11499833

FILING DATE: 08/03/2006

PATENT NUMBER:

ISSUE DATE:

TITLE: SYSTEM AND METHOD FOR MATCHING TRADING ORDERS BASED ON PRIORITY

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021486/0359 PAGE 2

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EFFECTIVE DATE:	04/01/2008										
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Name	Execution Date										
eSpeed, Inc.	04/01/2008										
RECEIVING PARTY DATA											
<table border="1"><tr><td>Name:</td><td>BGC PARTNERS, INC.</td></tr><tr><td>Street Address:</td><td>499 Park Avenue</td></tr><tr><td>City:</td><td>New York</td></tr><tr><td>State/Country:</td><td>NEW YORK</td></tr><tr><td>Postal Code:</td><td>10022</td></tr></table>		Name:	BGC PARTNERS, INC.	Street Address:	499 Park Avenue	City:	New York	State/Country:	NEW YORK	Postal Code:	10022
Name:	BGC PARTNERS, INC.										
Street Address:	499 Park Avenue										
City:	New York										
State/Country:	NEW YORK										
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PROPERTY NUMBERS Total: 2											
<table border="1"><tr><td>Property Type</td><td>Number</td></tr><tr><td>Application Number:</td><td>10798106</td></tr><tr><td>Application Number:</td><td>11499833</td></tr></table>		Property Type	Number	Application Number:	10798106	Application Number:	11499833				
Property Type	Number										
Application Number:	10798106										
Application Number:	11499833										
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NAME OF SUBMITTER:	DEAN P. ALDERUCCI										
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